



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,509	01/03/2002	Terry Smith	270/149

22249
LYON & LYON LLP
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071



CONFIRMATION NO. 5468

FORMALITIES LETTER



OC000000007415020

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/09/2002 MBERNE 00000061 10038509

01 FC:201 370.00 GP
02 FC:205 65.00 GP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

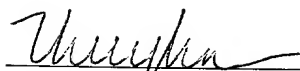
- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2000050-6255001



9/6/02 T

#3112P5

PATENT

Our Docket: P-HR 5214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Smith and Cruikshank

Serial No.: 10/038,509

Filed: January 3, 2002

For: DIAGNOSIS AND THERAPY OF
ANTIBODY-MEDIATED INFLAMMATORY
AUTO-IMMUNE DISORDERS

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

)
) Examiner: Not Yet Known
)
) Group Art Unit: 1644
)
) CERTIFICATE OF MAILING BY "EXPRESS MAIL"
) "EXPRESS MAIL" MAILING LABEL NUMBER: EV 065744918 US
)
) DATE OF DEPOSIT: September 3, 2002
) I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING
) DEPOSITED WITH THE UNITED STATES POSTAL SERVICE
) "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER
37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS
ADDRESSED TO: COMMISSIONER FOR PATENTS WASHINGTON,
D.C. 20231.

Erik Mumbert

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Erik Mumbert

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed
February 4, 2002, enclosed are:

- X 1. A copy of the Notice to File Missing Parts;
X 2. An executed Declaration for Patent Application;
X 3. Two Recordation Form Cover Sheets and two executed
Assignments;
X 4. Two executed Small Entity Statements;
X 5. Two executed Powers of Attorney for Patent Application
by Assignee;
X 6. Two executed Statements Under CFR 3.73(b) with copy of
executed Assignment.

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	8-20	=	0	x	\$9	\$18	= \$0	\$
INDEPEN- DENT CLAIMS	1-3	=	0	x	\$42	\$84	= \$0	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: ____ YES ____ NO					\$140	\$280	= \$0	\$
					BASIC FEE		\$370	\$740
					TOTAL FEE		\$370	

- X 7. Sixteen sheets of substitute drawings.
X 8. Petition for five-month extension of time (in
duplicate).

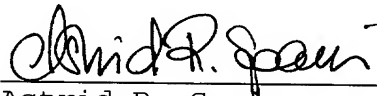
10038509-000302

Inventors: Smith and Cruikshank
Serial No.: 10/038,509
Filed: January 3, 2002
Page 2

- X 9. A check in the amount of \$1495.00 to cover the filing fee of \$370.00, the surcharge fee of \$65.00, \$980.00 which covers the five-month extension of time and \$80.000 for recording two Assignments for the application is enclosed.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: September 3, 2002

By: 
Astrid R. Spain
Reg. No. 47,956

CAMPBELL & FLORES LLP
4370 La Jolla Village Drive
7th Floor
San Diego, California 92122
Telephone: (858) 535-9001
Facsimile: (858) 535-8949
USPTO CUSTOMER NO. 23601

10038509-090302



PATENT
Our Docket: P-HR 5214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Smith and Cruikshank) Examiner: Not Yet Known
Serial No.: 10/038,509) Group Art Unit: 1644
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Inventors: Smith and Cruikshank
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